

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on financial year ended on 31.03.2018
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS:

i	CIN	U24231DL1989PLC037287
ii	Registration Date	07/08/1989
iii	Name of the Company	PENAM LABORATORIES LIMITED
iv	Category/Sub-category of the Company	PUBLIC COMPANY
v	Address of the Registered office & contact details	F-223, NEW RAJINDER NAGAR, NEW DELHI-110060
vi	Whether listed company	Company limited by shares
vii	Name , Address & contact details of the Registrar & Transfer Agent, if any.	Not Applicable

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

Sl No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	DRUGS & PHARMACEUTICALS	2941	100%

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES

Sl No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
1	N/A				

IV- SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year	
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares		
A. Promoters										
(1) Indian										
a) Individual/HUF	NIL	3443461	3443461	66.64%	NIL	3443961	3443961	66.65%	500	0.01%
b) Central Govt. or State Govt.										
c) Bodies Corporates	NIL	961630	961630	18.61%	NIL	961630	961630	18.61%	0	0.00%
d) Bank/ FI										
e) Any other										
SUB TOTAL: (A) (1)	NIL	4405091	4405091	85.25%	NIL	4405591	4405591	85.26%	500	0.01%
(2) Foreign										
a) NRI- Individuals										
b) Other Individuals										
c) Bodies Corp.										
d) Banks/ FI										
e) Any other...										
SUB TOTAL (A) (2)										
Total Shareholding of Promoter										
(A)= (A)(1)+(A)(2)	NIL	4405091	4405091	85.25%	NIL	4405591	4405591	85.26%	500	0.01%
B. PUBLIC SHAREHOLDING										
(1) Institutions										
a) Mutual Funds										
b) Banks/ FI										
c) Cenntral govt										
d) State Govt.										
e) Venture Capital Fund										
f) Insurance Companies										
g) FIIS										
h) Foreign Venture Capital Funds										
i) Others (specify)										
SUB TOTAL (B)(1):										
(2) Non Institutions										
a) Bodies corporates										
i) Indian	NIL	574715	574715	11.12%	0	574715	574715	11.12%	0	0.00%
ii) Overseas										
b) Individuals										
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	NIL	177351	177351	3.43%	NIL	176851	176851	3.42%	-500	-0.01%
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	NIL	10000	10000	0.19%	NIL	10000	10000	0.19%	0	0.00%
c) Others (specify)										
SUB TOTAL (B)(2):	NIL	762066	762066	14.75%	NIL	761566	761566	14.74%	-500	-0.01%
Total Public Shareholding (B)= (B)(1)+(B)(2)	NIL	762066	762066	14.75%	NIL	761566	761566	14.74%	-500	-0.01%
C. Shares held by Custodian for GDRs & ADRs										
Grand Total (A+B+C)	NIL	5167157	5167157	100%		5167157	5167157	100%	0	0.00%

(ii) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		NO of shares	% of total shares of the company	% of shares pledged encumbered to total shares	NO of shares	% of total shares of the company	% of shares pledged encumbered to	
1	Abha Pandey	164801	3.19%	NIL	164801	3.19%	NIL	0.00%
2	Paras Nath Pandey	468640	9.07%	NIL	468640	9.07%	NIL	0.00%
3	Swasti Pandey	10000	0.19%	NIL	10000	0.19%	NIL	0.00%
4	Vikas Pandey	49100	0.95%	NIL	49600	0.96%	NIL	0.01%
5	Neha Pandey	5000	0.10%	NIL	5000	0.10%	NIL	0.00%
6	Sampark Overseas Pvt.Ltd.	961630	18.61%	NIL	961630	18.61%	NIL	0.00%
7	Ashish Ajmani	270436	5.23%	NIL	270436	5.23%	NIL	0.00%
8	Indu Madan	3200	0.06%	NIL	3200	0.06%	NIL	0.00%
9	Raj Rani Ajmani	865800	16.76%	NIL	865800	16.76%	NIL	0.00%
10	Sandeep Kumar Ajmani	64200	1.24%	NIL	64200	1.24%	NIL	0.00%
11	S. K. Ajmani (HUF)	60800	1.18%	NIL	60800	1.18%	NIL	0.00%
12	Surinder Kumar Ajmani	1481484	28.67%	NIL	1481484	28.67%	NIL	0.00%
	Total	4405091	85.25%		4405591	85.26%		

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	Abha Pandey				
	At the beginning of the year	164801	3.19%	164801	3.19%
	Increase During the Year	0	0.00%	164801	3.19%
	decrease During the Year	0	0.00%	164801	3.19%
	At the end of the year	164801	3.19%	164801	3.19%
2	Paras Nath Pandey				
	At the beginning of the year	468640	9.07%	468640	9.07%
	Increase During the Year	0	0.00%	468640	9.07%
	decrease During the Year	0	0.00%	468640	9.07%
	At the end of the year	468640	9.07%	468640	9.07%
3	Swasti Pandey				
	At the beginning of the year	10000	0.19%	10000	0.19%
	Increase During the Year	0	0.00%	10000	0.19%
	decrease During the Year	0	0.00%	10000	0.19%
	At the end of the year	10000	0.19%	10000	0.19%
4	Vikas Pandey				
	At the beginning of the year	49100	0.95%	49100	0.95%
	Increase During the Year	500	0.01%	49600	0.96%
	decrease During the Year	0	0.00%	49600	0.96%
	At the end of the year	49600	0.96%	49600	0.96%
5	Neha Pandey				
	At the beginning of the year	5000	0.10%	5000	0.10%
	Increase During the Year	0	0.00%	5000	0.10%
	decrease During the Year	0	0.00%	5000	0.10%
	At the end of the year	5000	0.10%	5000	0.10%
6	Sampark Overseas Pvt. Ltd.				
	At the beginning of the year	961630	18.61%	961630	18.61%
	Increase During the Year	0	0.00%	961630	18.61%
	decrease During the Year	0	0.00%	961630	18.61%
	At the end of the year	961630	18.61%	961630	18.61%
7	Ashish Ajmani				
	At the beginning of the year	270436	5.23%	270436	5.23%
	Increase During the Year	0	0.00%	270436	5.23%
	decrease During the Year	0	0.00%	270436	5.23%
	At the end of the year	270436	5.23%	270436	5.23%
8	Indu Madan				
	At the beginning of the year	3200	0.06%	3200	0.06%

Increase During the Year	0	0.00%	3200	0.06%
decrease During the Year	0	0.00%	3200	0.06%
At the end of the year	3200	0.06%	3200	0.06%

9 Raj Rani Aijani				
At the beginning of the year	865800	16.76%	865800	16.76%
Increase During the Year	0	0.00%	865800	16.76%
decrease During the Year	0	0.00%	865800	16.76%
At the end of the year	865800	16.76%	865800	16.76%

10 Sandeep Kumar Aijani				
At the beginning of the year	64200	1.24%	64200	1.24%
Increase During the Year	0	0.00%	64200	1.24%
decrease During the Year	0	0.00%	64200	1.24%
At the end of the year	64200	1.24%	64200	1.24%

11 S. K. Aijani (HUF)				
At the beginning of the year	60800	1.18%	60800	1.18%
Increase During the Year	0	0.00%	60800	1.18%
decrease During the Year	0	0.00%	60800	1.18%
At the end of the year	60800	1.18%	60800	1.18%

12 Surinder Kumar Aijani				
At the beginning of the year	1481484	28.67%	1481484	28.67%
Increase During the Year	0	0.00%	1481484	28.67%
decrease During the Year	0	0.00%	1481484	28.67%
At the end of the year	1481484	28.67%	1481484	28.67%

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

Sl. No	Shareholding at the end of the year	Cumulative Shareholding during the year			
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	For Each of the Top 10 Shareholders				
1 Sampark Fine Chem (P) Ltd.					
At the beginning of the year	574715	11.12%	574715	11.12%	
Increase During the Year	0	0.00%	574715	11.12%	
decrease During the Year	0	0.00%	574715	11.12%	
At the end of the year	574715	11.12%	574715	11.12%	

2 Manish Kwatra				
At the beginning of the year	10000	0.19%	10000	0.19%
Increase During the Year	0	0.00%	10000	0.19%
decrease During the Year	0	0.00%	10000	0.19%
At the end of the year	10000	0.19%	10000	0.19%

3 Manjula J. Salia				
At the beginning of the year	5000	0.10%	5000	0.10%
Increase During the Year	0	0.00%	5000	0.10%
decrease During the Year	0	0.00%	5000	0.10%
At the end of the year	5000	0.10%	5000	0.10%

4 Sushila J. Salia				
At the beginning of the year	5000	0.10%	5000	0.10%
Increase During the Year	0	0.00%	5000	0.10%
decrease During the Year	0	0.00%	5000	0.10%
At the end of the year	5000	0.10%	5000	0.10%

5 Meenu Jain				
At the beginning of the year	5000	0.10%	5000	0.10%
Increase During the Year	0	0.00%	5000	0.10%
decrease During the Year	0	0.00%	5000	0.10%
At the end of the year	5000	0.10%	5000	0.10%

6 Arun Kumar Mishra				
At the beginning of the year	4000	0.08%	4000	0.08%
Increase During the Year	0	0.00%	4000	0.08%
decrease During the Year	0	0.00%	4000	0.08%
At the end of the year	4000	0.08%	4000	0.08%

7 Agarwal Omprakash				
At the beginning of the year	4000	0.08%	4000	0.08%
Increase During the Year	0	0.00%	4000	0.08%
decrease During the Year	0	0.00%	4000	0.08%
At the end of the year	4000	0.08%	4000	0.08%

8	Pratap Raj Harjivan Das Mehta				
	At the beginning of the year	4000	0.08%	4000	0.08%
	Increase During the Year	0	0.00%	4000	0.08%
	decrease During the Year	0	0.00%	4000	0.08%
	At the end of the year	4000	0.08%	4000	0.08%

9	Hareesh Babulal Gandhi				
	At the beginning of the year	3000	0.06%	3000	0.06%
	Increase During the Year	0	0.00%	3000	0.06%
	decrease During the Year	0	0.00%	3000	0.06%
	At the end of the year	3000	0.06%	3000	0.06%

10	Harsh Atul Sanghani				
	At the beginning of the year	3000	0.06%	3000	0.06%
	Increase During the Year	0	0.00%	3000	0.06%
	decrease During the Year	0	0.00%	3000	0.06%
	At the end of the year	3000	0.06%	3000	0.06%

(v) Shareholding of Directors & KMP

Sl. No	For Each of the Directors & KMP	Shareholding at the end of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
1	Surinder Kumar Amani				
	At the beginning of the year	1481484	28.67%	1481484	28.67%
	Increase During the Year	0	0.00%	1481484	28.67%
	decrease During the Year	0	0.00%	1481484	28.67%
	At the end of the year	1481484	28.67%	1481484	28.67%

2	Paras Nath Pandey				
	At the beginning of the year	468640	9.07%	468640	9.07%
	Increase During the Year	0	0.00%	468640	9.07%
	decrease During the Year	0	0.00%	468640	9.07%
	At the end of the year	468640	9.07%	468640	9.07%

3	Ashish Amani				
	At the beginning of the year	270436	5.23%	270436	5.23%
	Increase During the Year	0	0.00%	270436	5.23%
	decrease During the Year	0	0.00%	270436	5.23%
	At the end of the year	270436	5.23%	270436	5.23%

4	Arun Kumar Mittal				
	At the beginning of the year	1500	0.03%	1500	0.03%
	Increase During the Year	0	0.00%	1500	0.03%
	decrease During the Year	0	0.00%	1500	0.03%
	At the end of the year	1500	0.03%	1500	0.03%

5	Narinder Mohan Jhanil				
	At the beginning of the year	2500	0.05%	2500	0.05%
	Increase During the Year	0	0.00%	2500	0.05%
	decrease During the Year	0	0.00%	2500	0.05%
	At the end of the year	2500	0.05%	2500	0.05%

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	7,48,11,897.51	2,44,50,000.00	-	9,92,61,897.51
ii) Interest due but not paid	-	-	-	
iii) Interest accrued but not due	-	-	-	
Total (i+ii+iii)	7,48,11,897.51	2,44,50,000.00	-	9,92,61,897.51
Change in Indebtedness during the financial year				
Additions	-	58,50,000.00	-	58,50,000.00
Reduction	7,18,40,990.48	-	-	7,18,40,990.48
Net Change	7,18,40,990.48	58,50,000.00	-	7,76,90,990.48
Indebtedness at the end of the financial year				
i) Principal Amount	29,70,907.03	3,03,00,000.00	-	3,32,70,907.03
ii) Interest due but not paid	-	-	-	
iii) Interest accrued but not due	-	-	-	
Total (i+ii+iii)	29,70,907.03	3,03,00,000.00	-	3,32,70,907.03

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager			Total Amount
		Surinder Kumar Ajmani	Paras Nath Pandey	Ashish Ajmani	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax. 1961.	60,00,000	60,00,000	24,00,000	1,44,00,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-
2	Stock option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	1,59,68,237	2,39,52,355	-	3,99,20,592
	as % of profit	-	-	-	-
	others (specify)	-	-	-	-
5	Others, please specify	-	-	24,00,000	-
	Total (A)	2,19,68,237	2,99,52,355	24,00,000	5,43,20,592
	Ceiling as per the Act				7,55,91,701

B. Remuneration to other directors:

Sl.No	Particulars of Remuneration	Name of the Directors			Total Amount
		Arun Kumar Mittal	Narinder Mohan Jhanji		
1	Independent Directors				
	(a) Fee for attending board committee meetings	6,000	8,000		14,000
	(b) Commission				-
	(c) Others, please specify				-
	Director Remuneration				
	Director Remuneration				
	Total (1)	6,000	8,000	-	14,000
2	Other Non Executive Directors	Keshav Sondhi			
	(a) Fee for attending board committee meetings	10,000			10,000
	(b) Commission				-
	(c) Others, please specify.				-
	Total (2)	10,000			10,000
	Total (B)=(1+2)				24,000
	Total Managerial Remuneration				
	Overall Ceiling as per the Act.				75,59,170

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD-

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary - Kanika Pareek from 01.09.2017 to 31.03.2018	Company Secretary - Amritesh Shukla from 01.04.2017 to 31.08.2017	Total
1	Gross Salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	-	1,86,942	1,13,818	3,00,760
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission as % of profit	-	-	-	-
	others, specify	-	-	-	-
5	Others, please specify	-	-	-	-
		-	-	-	-
	Total	-	1,86,942	1,13,818	3,00,760

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

A. Anderson
